

# CAVE:

## Configuration, Assessment, Visualization and Evaluation

André Biedenkapp, Joshua Marben,  
Marius Lindauer, and Frank Hutter

University of Freiburg

Lion12



# Introduction

- Algorithm parameters can greatly influence an algorithms performance



# Introduction

- Algorithm parameters can greatly influence an algorithms performance
- Success of algorithm configuration:

Domain	#P	Speedup up to	
ASP ( <i>Clasp</i> )	99	14x	[Gebser et al., 2011]
AI planning ( <i>LPG</i> )	66	40x	[Vallati et al., 2013]
MIP ( <i>CPLEX</i> )	76	52x	[Hutter et al., 2010]
SAT ( <i>probSAT</i> )	9	1500x	[Hutter et al., 2017]



# Introduction

- Algorithm parameters can greatly influence an algorithms performance
- Success of algorithm configuration:

Domain	#P	Speedup up to	
ASP ( <i>Clasp</i> )	99	14x	[Gebser et al., 2011]
AI planning ( <i>LPG</i> )	66	40x	[Vallati et al., 2013]
MIP ( <i>CPLEX</i> )	76	52x	[Hutter et al., 2010]
SAT ( <i>probSAT</i> )	9	1500x	[Hutter et al., 2017]

- Research focuses on proposing better configuration procedures



# Introduction

- Algorithm parameters can greatly influence an algorithms performance
- Success of algorithm configuration:

Domain	#P	Speedup up to	
ASP ( <i>Clasp</i> )	99	14x	[Gebser et al., 2011]
AI planning ( <i>LPG</i> )	66	40x	[Vallati et al., 2013]
MIP ( <i>CPLEX</i> )	76	52x	[Hutter et al., 2010]
SAT ( <i>probSAT</i> )	9	1500x	[Hutter et al., 2017]

- Research focuses on proposing better configuration procedures
- Resulting procedures only communicate promising parameter settings



# Introduction

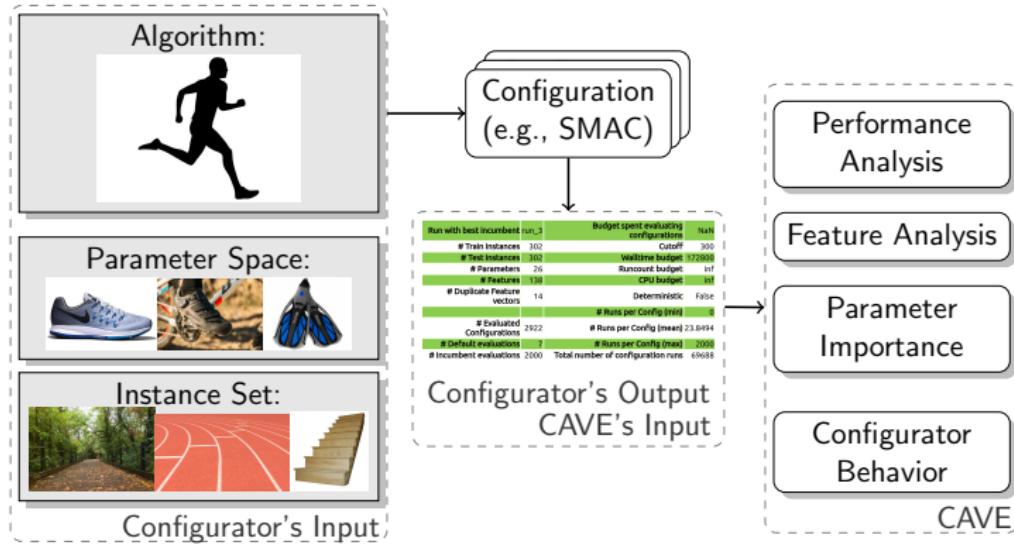
- Algorithm parameters can greatly influence an algorithms performance
- Success of algorithm configuration:

Domain	#P	Speedup up to	
ASP ( <i>Clasp</i> )	99	14x	[Gebser et al., 2011]
AI planning ( <i>LPG</i> )	66	40x	[Vallati et al., 2013]
MIP ( <i>CPLEX</i> )	76	52x	[Hutter et al., 2010]
SAT ( <i>probSAT</i> )	9	1500x	[Hutter et al., 2017]

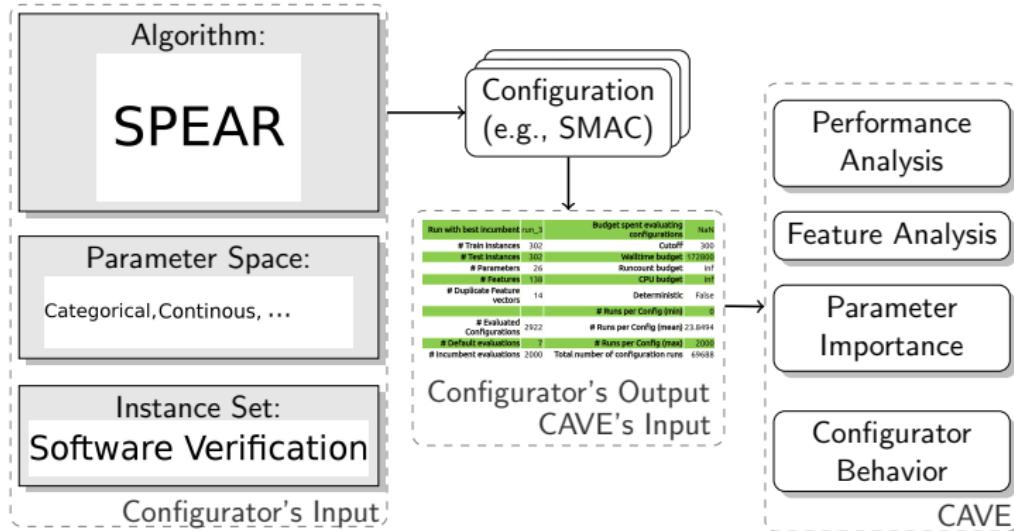
- Research focuses on proposing better configuration procedures
- Resulting procedures only communicate promising parameter settings
- No communication what happened during configuration



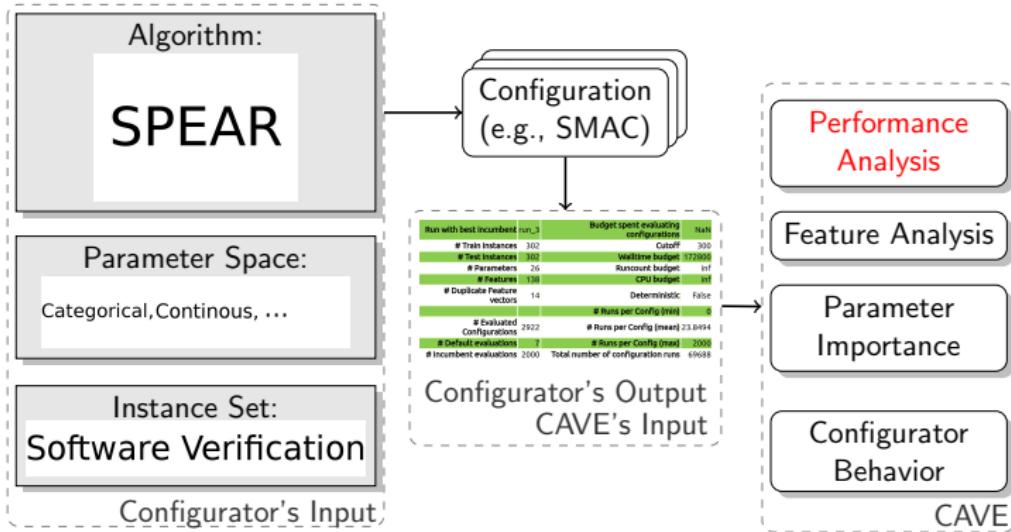
# Motivation



# Motivation



# Performance Analysis



# Performance Analysis (Most Basic)

	Train		Test	
	Default	Incumbent	Default	Incumbent
PAR10				
PAR1				
Timeouts				

# Performance Analysis (Most Basic)

	Train		Test	
	Default	Incumbent	Default	Incumbent
PAR10	659.968	11.295	608.726	3.04
PAR1				
Timeouts				

# Performance Analysis (Most Basic)

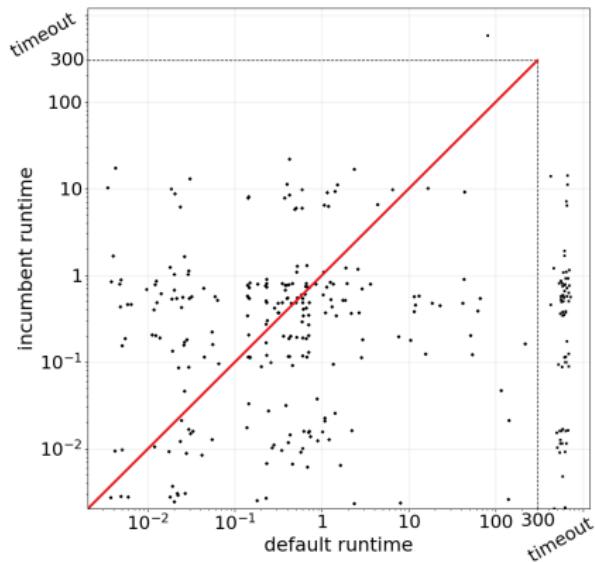
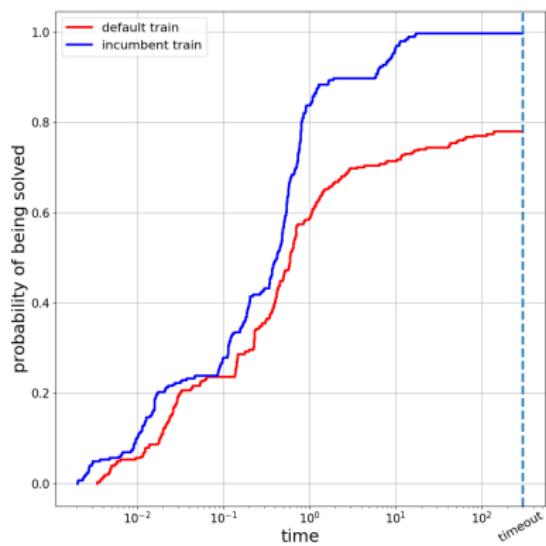
	Train		Test	
	Default	Incumbent	Default	Incumbent
PAR10	659.968	11.295	608.726	3.04
PAR1	69.902	2.355	63.362	3.04
Timeouts				

# Performance Analysis (Most Basic)

	Train		Test	
	Default	Incumbent	Default	Incumbent
PAR10	659.968	11.295	608.726	3.04
PAR1	69.902	2.355	63.362	3.04
Timeouts	62/302	1/302	55/302	0/302

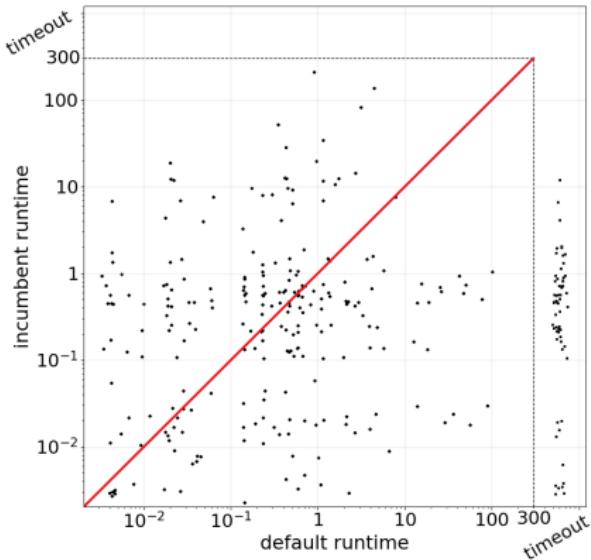
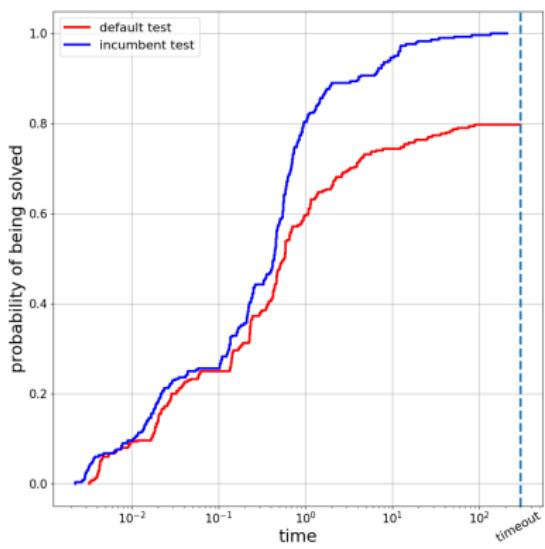
# Performance Analysis (Most Basic)

	Train		Test	
	Default	Incumbent	Default	Incumbent
PAR10	659.968	11.295	608.726	3.04
PAR1	69.902	2.355	63.362	3.04
Timeouts	62/302	1/302	55/302	0/302

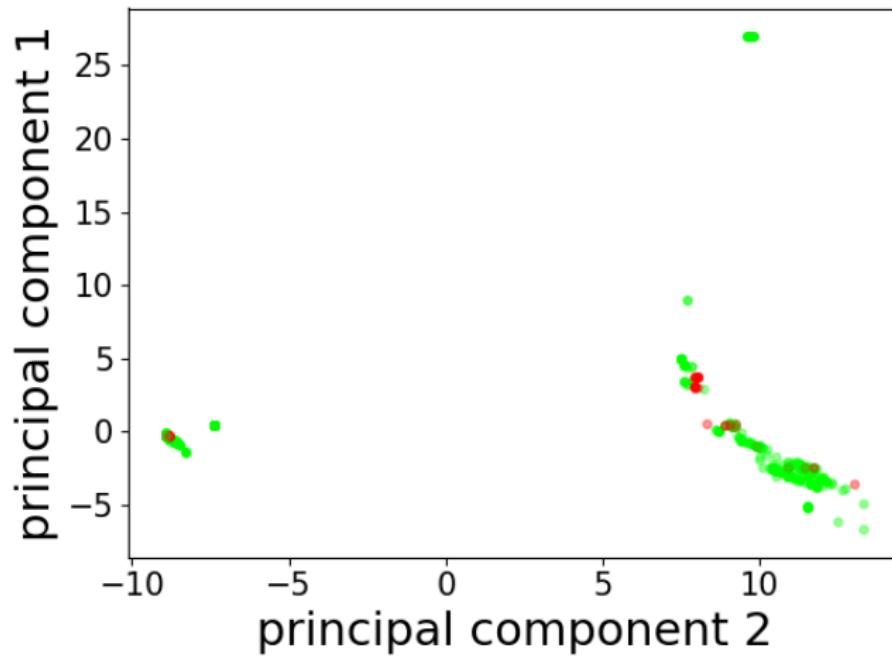


# Performance Analysis (Most Basic)

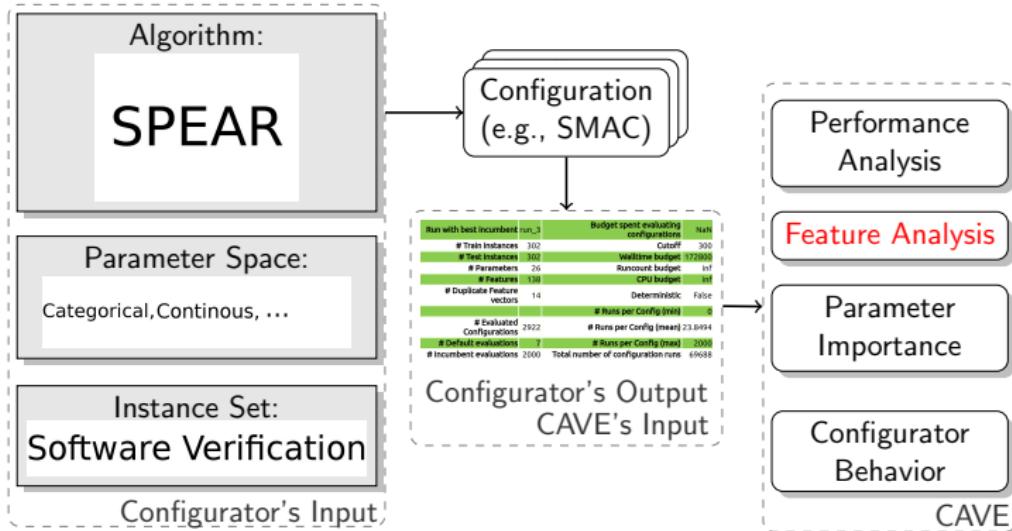
	Train		Test	
	Default	Incumbent	Default	Incumbent
<b>PAR10</b>	659.968	11.295	608.726	3.04
<b>PAR1</b>	69.902	2.355	63.362	3.04
<b>Timeouts</b>	62/302	1/302	55/302	0/302



## Algorithm Footprints [Smith-Miles et al., 2014]



# Feature Analysis

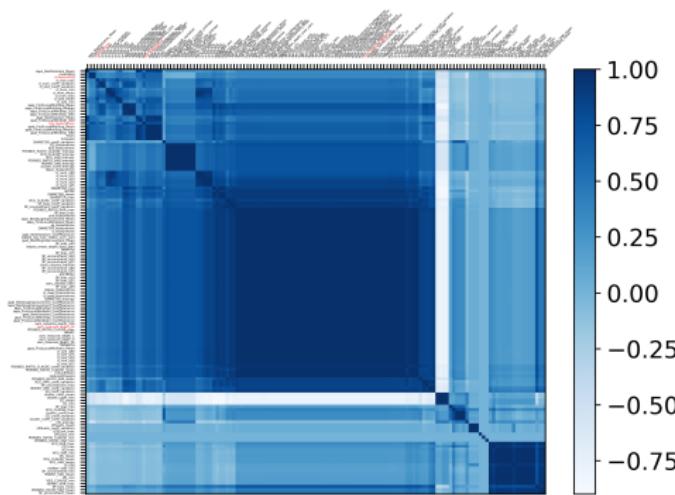


# Feature Analysis

- Instances are characterized by instance features
- Used the feature generator from  
*SATzilla* [Xu et al., 2008, Hutter et al., 2014]
- ⇒ 138 features per instance

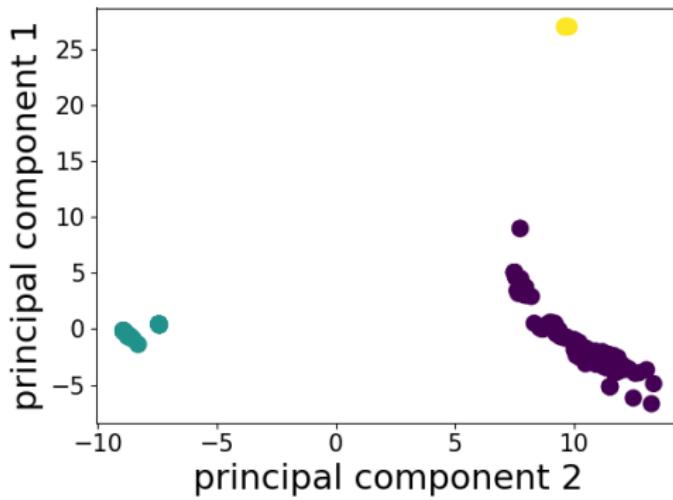
# Feature Analysis

- Instances are characterized by instance features
- Used the feature generator from  
*SATzilla* [Xu et al., 2008, Hutter et al., 2014]
- ⇒ 138 features per instance
- Feature Correlation



# Feature Analysis

- Instances are characterized by instance features
- Used the feature generator from  
*SATzilla* [Xu et al., 2008, Hutter et al., 2014]
- ⇒ 138 features per instance
- Clustering



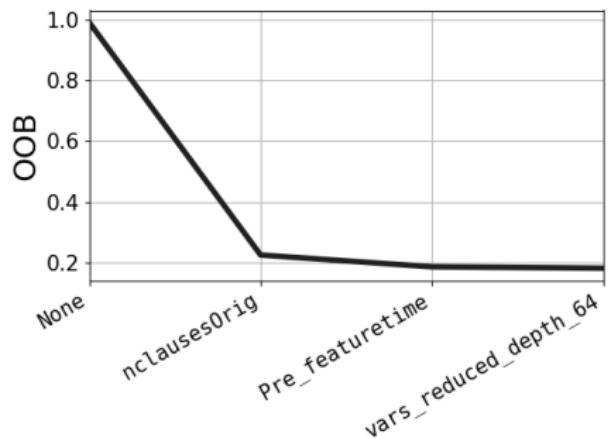
# Feature Analysis

- Instances are characterized by instance features
- Used the feature generator from *SATzilla* [Xu et al., 2008, Hutter et al., 2014]
- ⇒ 138 features per instance
- Feature importance based on greedy forward selection [Hutter et al., 2013]

	Error
<b>None</b>	0.989727
<b>nclausesOrig</b>	0.225080
<b>Pre_featuretime</b>	0.186257
<b>vars_reduced_depth_64</b>	0.181692

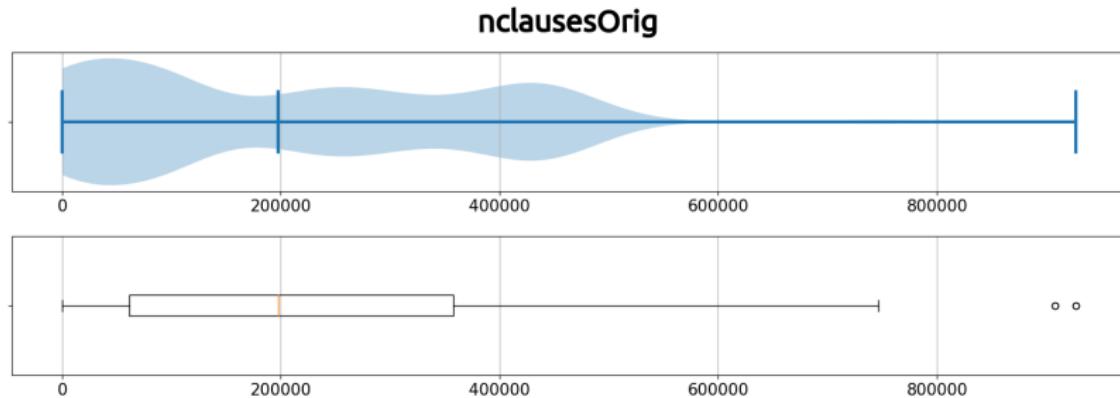
# Feature Analysis

- Instances are characterized by instance features
- Used the feature generator from *SATzilla* [Xu et al., 2008, Hutter et al., 2014]
- ⇒ 138 features per instance
- Feature importance based on greedy forward selection [Hutter et al., 2013]

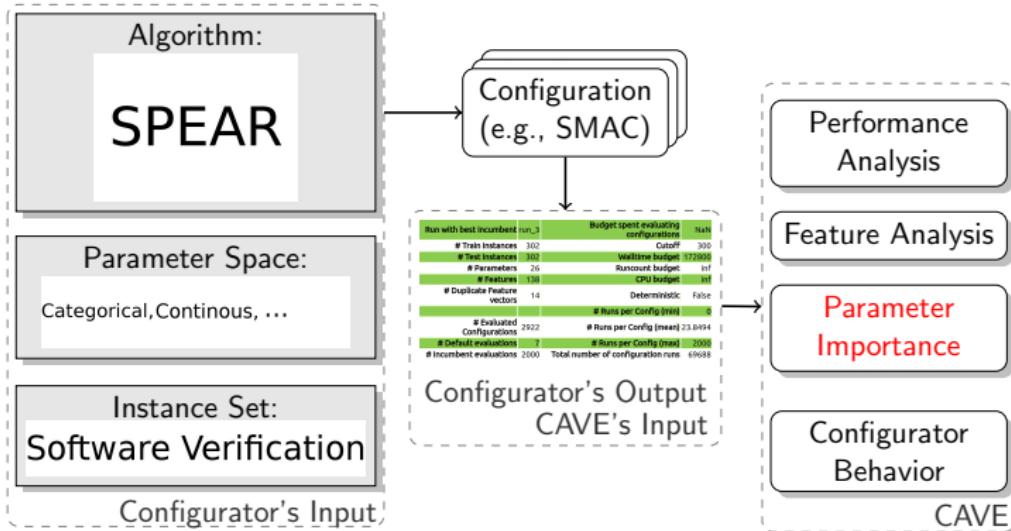


# Feature Analysis

- Instances are characterized by instance features
- Used the feature generator from  
*SATzilla* [Xu et al., 2008, Hutter et al., 2014]
- ⇒ 138 features per instance
- Box and violin plots for each feature



# Parameter Importance



# CAVE: Parameter Importance

<https://github.com/automl/ParameterImportance>

	FANOVA	Ablation	LPI
sp-var-dec-heur	65.06	73.90	91.36
sp-orig-clause-sort-heur	1.31	21.94	-
sp-phase-dec-heur	5.94	-	-
sp-restart-inc	-	1.44	4.05
sp-first-restart	-	-	1.59
sp-learned-clause-sort-heur	1.12	2.02	-
sp-variable-decay	-	-	1.50



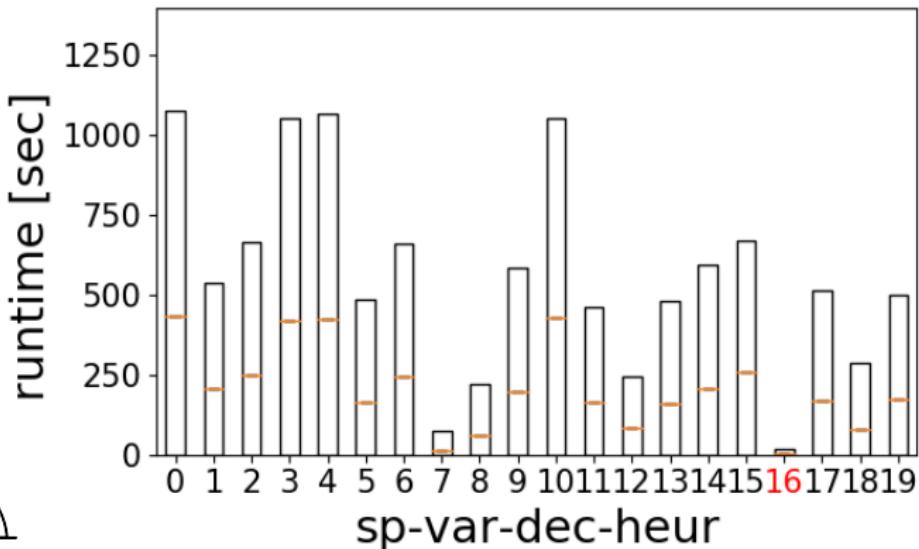
# CAVE: Local Parameter Importance (LPI)

- Novel importance analysis method
- Inspired by the human strategy to look much performance of configurations in the neighborhood of incumbent degrades
- Uses empirical performance model to predict performance of neighboring configurations [Biedenkapp et al., 2017]

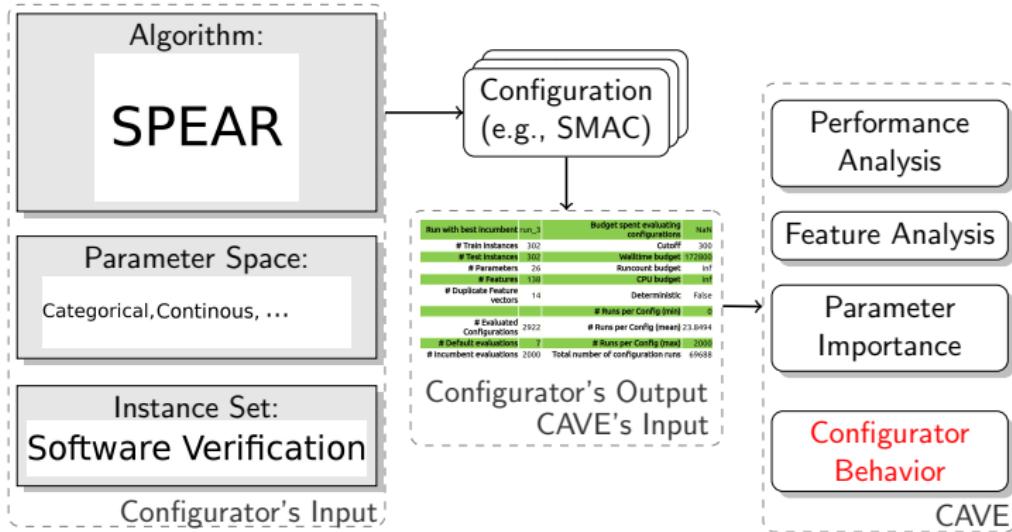


# CAVE: Local Parameter Importance (LPI)

- Novel importance analysis method
- Inspired by the human strategy to look much performance of configurations in the neighborhood of incumbent degrades
- Uses empirical performance model to predict performance of neighboring configurations [Biedenkapp et al., 2017]

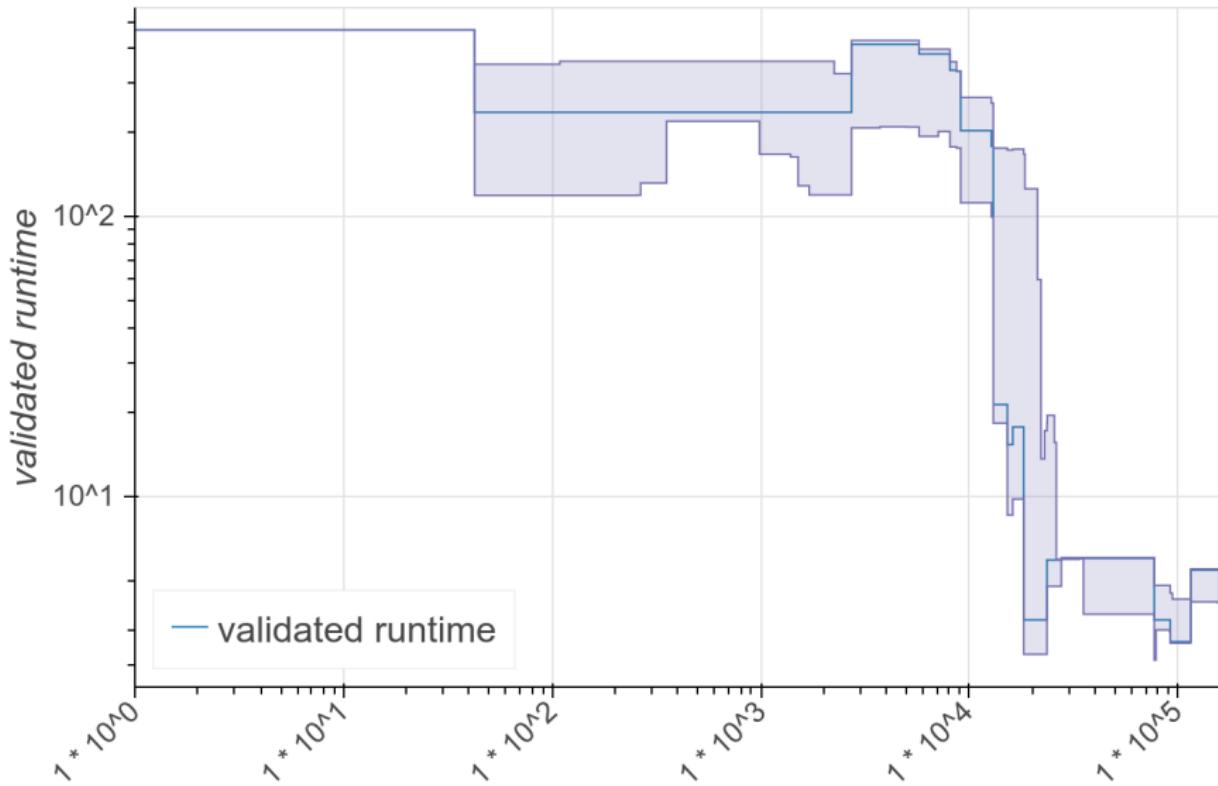


# Configurator Behaviour



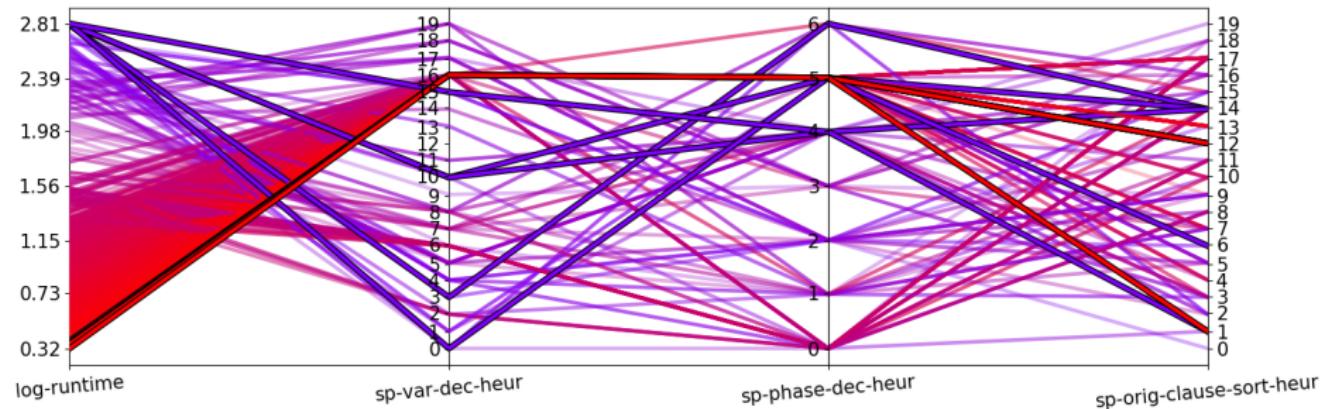
# CAVE: Configurator Behavior

## Cost over time



# CAVE: Configurator Behavior

Parallel Coordinates [Heinrich and Weiskopf, 2013]



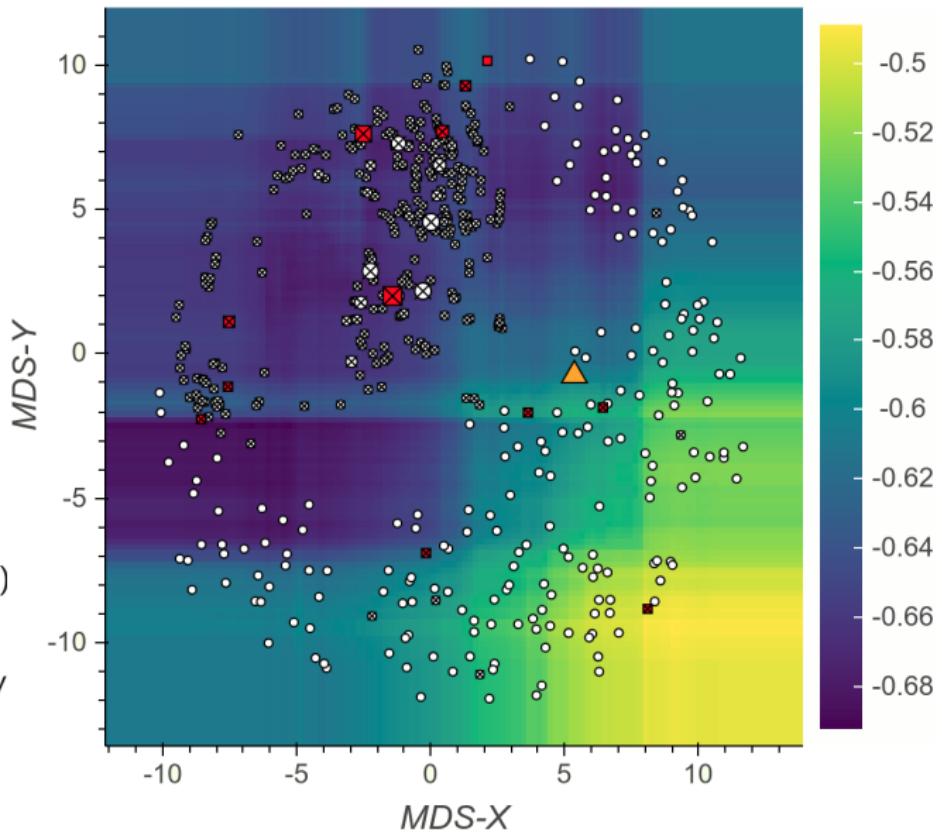
# CAVE: Configurator Behavior

## Configurator Footprint

based on similarity  
metric by  
[Xu et al., 2016]

$\frac{1}{10}$  budget spent

- Configuration (Random)
- ✖ Configuration (Acquisition)
- Incumbent on trajectory
- ▼ Final Incumbent
- ▲ Default



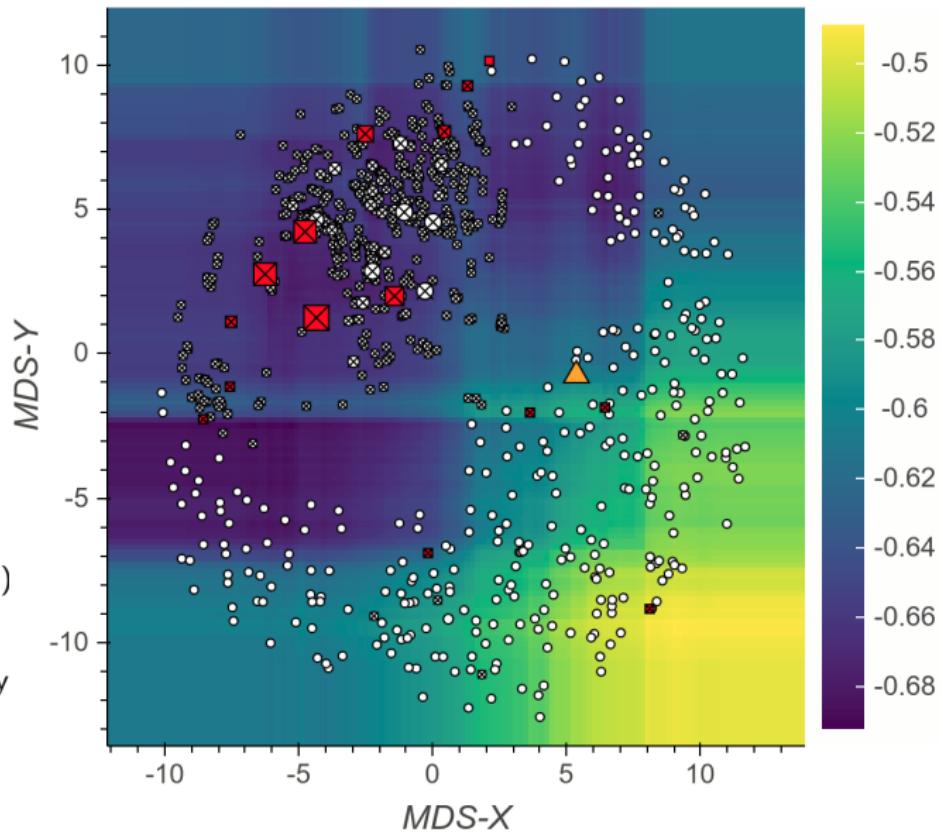
# CAVE: Configurator Behavior

## Configurator Footprint

based on similarity  
metric by  
[Xu et al., 2016]

$\frac{2}{10}$  budget spent

- Configuration (Random)
- ✖ Configuration (Acquisition)
- Incumbent on trajectory
- ▼ Final Incumbent
- ▲ Default



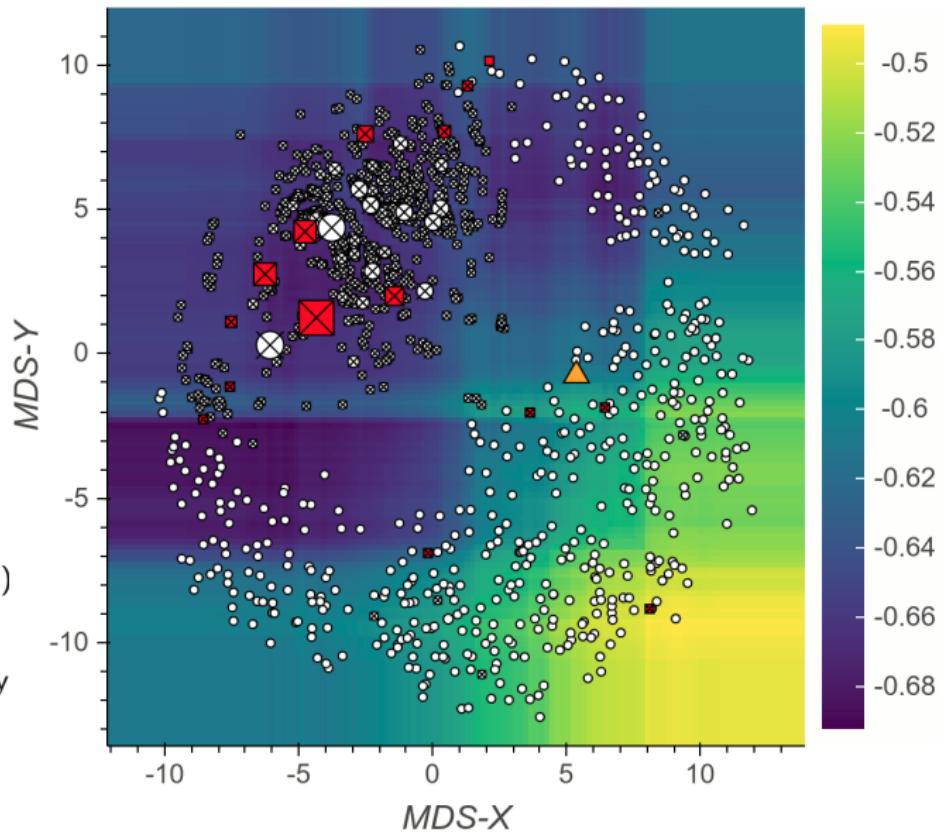
# CAVE: Configurator Behavior

## Configurator Footprint

based on similarity metric by [Xu et al., 2016]

$\frac{3}{10}$  budget spent

- Configuration (Random)
- ✖ Configuration (Acquisition)
- Incumbent on trajectory
- ▼ Final Incumbent
- ▲ Default



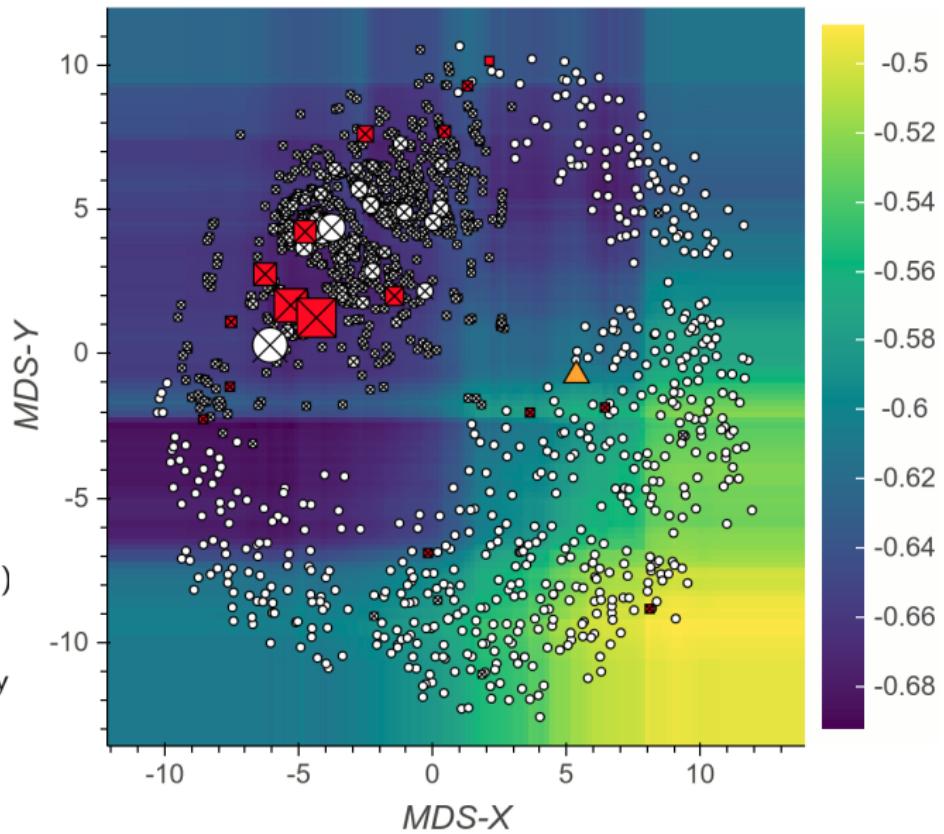
# CAVE: Configurator Behavior

## Configurator Footprint

based on similarity  
metric by  
[Xu et al., 2016]

$\frac{4}{10}$  budget spent

- Configuration (Random)
- ✖ Configuration (Acquisition)
- Incumbent on trajectory
- ▼ Final Incumbent
- ▲ Default



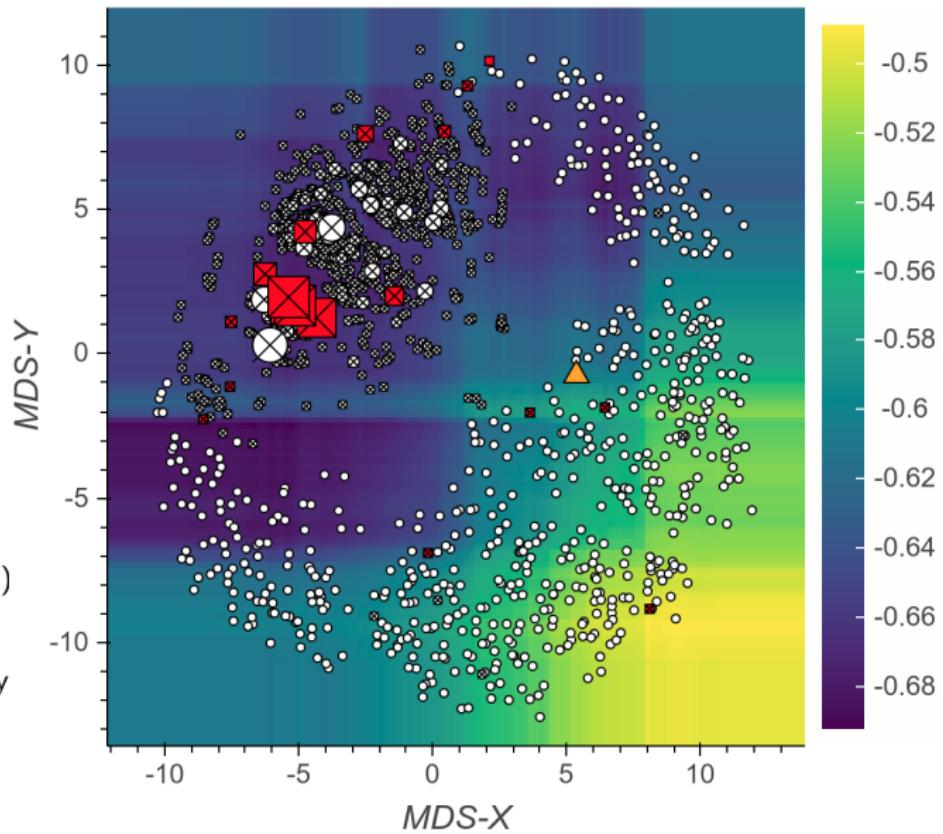
# CAVE: Configurator Behavior

## Configurator Footprint

based on similarity  
metric by  
[Xu et al., 2016]

$\frac{5}{10}$  budget spent

- Configuration (Random)
- ✖ Configuration (Acquisition)
- Incumbent on trajectory
- ▼ Final Incumbent
- ▲ Default



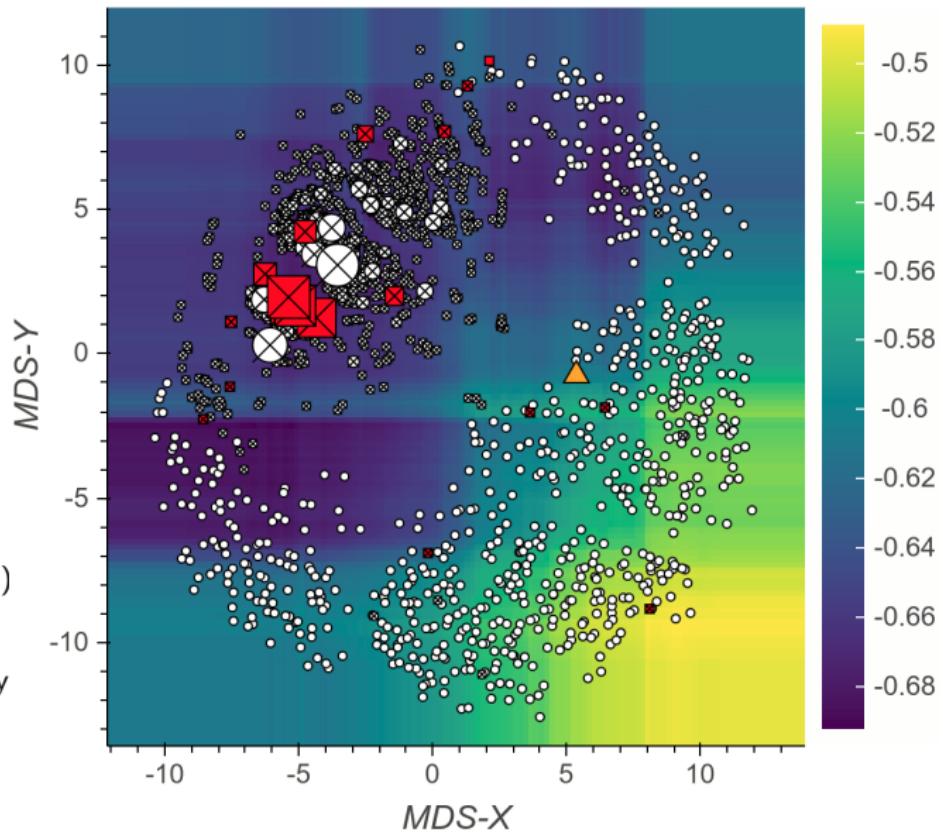
# CAVE: Configurator Behavior

## Configurator Footprint

based on similarity metric by [Xu et al., 2016]

$\frac{6}{10}$  budget spent

- Configuration (Random)
- ✖ Configuration (Acquisition)
- Incumbent on trajectory
- ▼ Final Incumbent
- ▲ Default



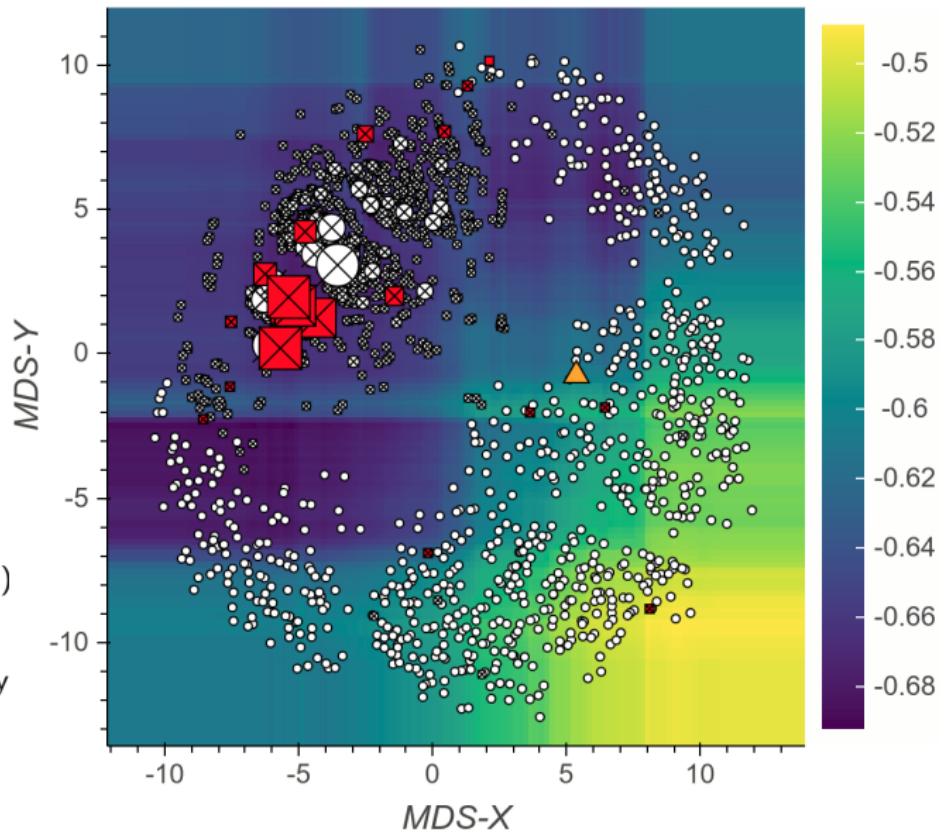
# CAVE: Configurator Behavior

## Configurator Footprint

based on similarity metric by [Xu et al., 2016]

$\frac{7}{10}$  budget spent

- Configuration (Random)
- ✖ Configuration (Acquisition)
- Incumbent on trajectory
- ▼ Final Incumbent
- ▲ Default



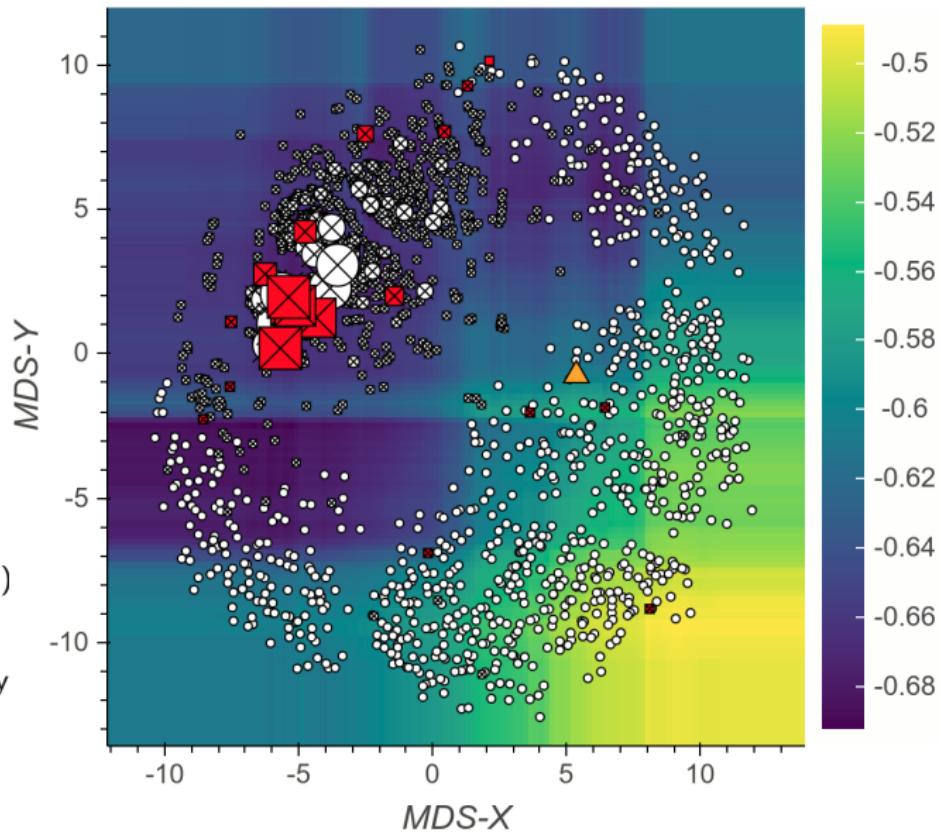
## CAVE: Configurator Behavior

## Configurator Footprint

based on similarity metric by [Xu et al., 2016]

$\frac{8}{10}$  budget spent

- Configuration (Random)
  - ☒ Configuration (Acquisition)
  - Incumbent on trajectory
  - ▼ Final Incumbent
  - ▲ Default



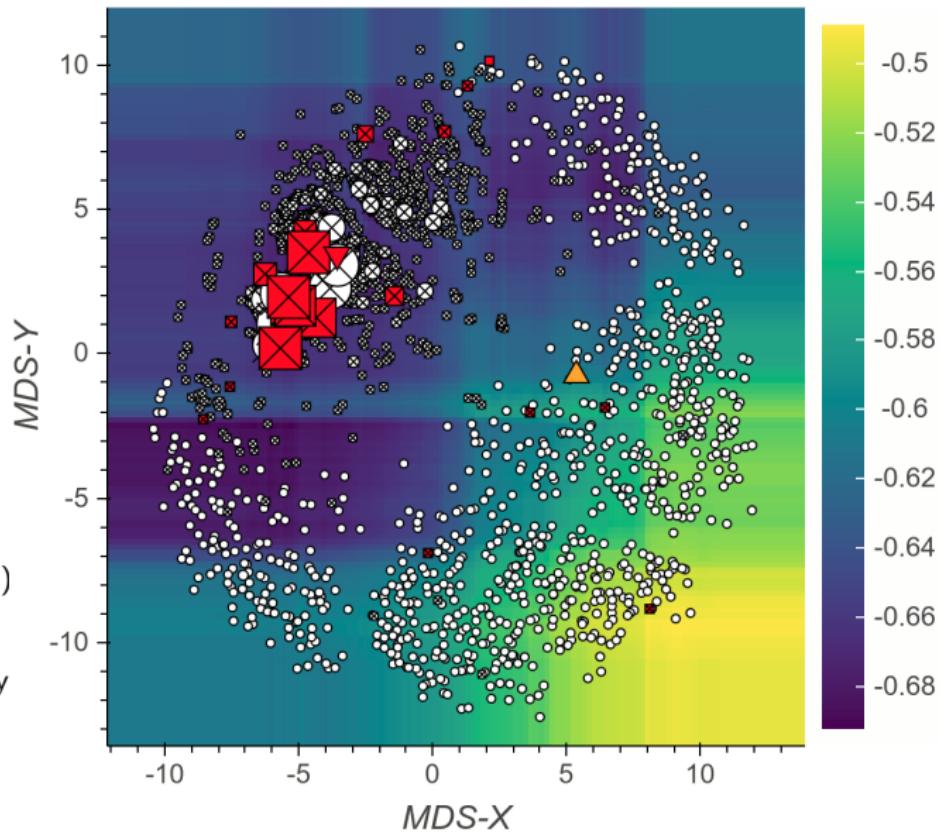
# CAVE: Configurator Behavior

## Configurator Footprint

based on similarity metric by [Xu et al., 2016]

$\frac{9}{10}$  budget spent

- Configuration (Random)
- ✖ Configuration (Acquisition)
- Incumbent on trajectory
- ▼ Final Incumbent
- ▲ Default



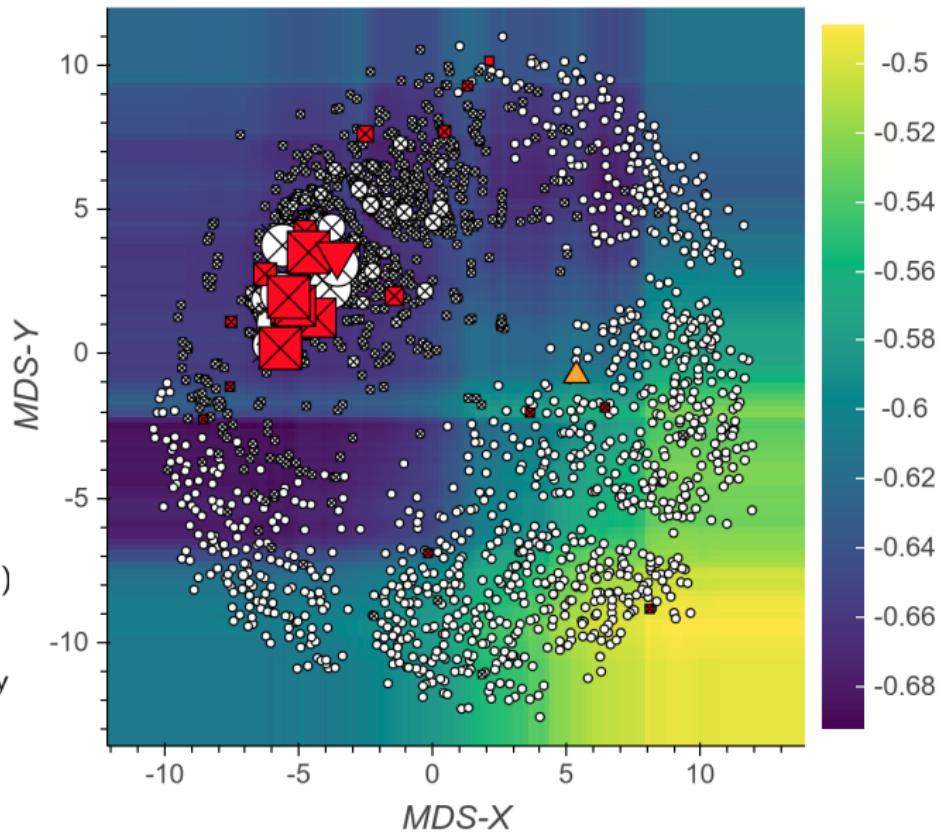
# CAVE: Configurator Behavior

## Configurator Footprint

based on similarity  
metric by  
[Xu et al., 2016]

$\frac{1}{10}$  budget spent

- Configuration (Random)
- ✖ Configuration (Acquisition)
- Incumbent on trajectory
- ▼ Final Incumbent
- ▲ Default



- Q1 Does the set of important parameters change depending on the instance set?
- Q2 Do local and global parameter importance approaches agree on the set of important parameters?



# CAVE: Case Study

Algorithm	Domain	#P	#Insts.
<i>LPG</i> [Gerevini and Serina, 2002]	AI plan.	65	3
<i>Clasp</i> (-ASP)[Gebser et al., 2012]	ASP	98	3
<i>CPLEX</i>	MIP	74	4
<i>SATenstein</i> [KhudaBukhsh et al., 2009]	SAT	49	6
<i>Clasp</i> (-HAND)	SAT	75	3
<i>Clasp</i> (-RAND)	SAT	75	3
<i>probSAT</i> [Balint and Schöning, 2012]	SAT	9	3



# CAVE: Case Study

Algorithm	ablation $\mu$	fANOVA $\mu$	LPI $\mu$
clasp(-ASP)	$\approx$ 8%	$\approx$ 42%	$\approx$ 31%



# CAVE: Case Study

Algorithm	ablation		fANOVA	LPI
	$\mu$	$\mu$	$\mu$	$\mu$
clasp(-ASP)	$\approx$	8%	$\approx$	42%
clasp(-HAND)	$\approx$	0%	$\approx$	50%
clasp(-RAND)	$\approx$	14%	$\approx$	11%
				$\approx$ 25%
				$\approx$ 28%



# CAVE: Case Study

Algorithm	ablation		fANOVA	LPI
	$\mu$	$\mu$	$\mu$	$\mu$
clasp(-ASP)	$\approx$	8%	$\approx$	42%
clasp(-HAND)	$\approx$	0%	$\approx$	50%
clasp(-RAND)	$\approx$	14%	$\approx$	11%
CPLEX	$\approx$	4%	$\approx$	16%



# CAVE: Case Study

Algorithm	ablation		fANOVA	LPI
	$\mu$	$\mu$	$\mu$	$\mu$
clasp(-ASP)	$\approx$	8%	$\approx$	42%
clasp(-HAND)	$\approx$	0%	$\approx$	50%
clasp(-RAND)	$\approx$	14%	$\approx$	11%
CPLEX	$\approx$	4%	$\approx$	16%
lpg	$\approx$	16%	$\approx$	30%



# CAVE: Case Study

Algorithm	ablation		fANOVA	LPI
	$\mu$	$\mu$	$\mu$	$\mu$
clasp(-ASP)	$\approx$	8%	$\approx$	42%
clasp(-HAND)	$\approx$	0%	$\approx$	50%
clasp(-RAND)	$\approx$	14%	$\approx$	11%
CPLEX	$\approx$	4%	$\approx$	16%
lpg	$\approx$	16%	$\approx$	30%
probSAT	$\approx$	47%	$\approx$	32%
				$\approx$
				61%



# CAVE: Case Study

Algorithm	ablation		fANOVA	LPI
	$\mu$	$\mu$	$\mu$	$\mu$
clasp(-ASP)	≈	8%	≈	42%
clasp(-HAND)	≈	0%	≈	50%
clasp(-RAND)	≈	14%	≈	11%
CPLEX	≈	4%	≈	16%
lpg	≈	16%	≈	30%
probSAT	≈	47%	≈	32%
SATenstein	≈	15%	≈	26%

- ⇒ parameter importance depends on the instance set



# CAVE: Case Study

Algorithm	ablation		fANOVA	LPI
	$\mu$	$\mu$	$\mu$	$\mu$
clasp(-ASP)	≈	8%	≈	42%
clasp(-HAND)	≈	0%	≈	50%
clasp(-RAND)	≈	14%	≈	11%
CPLEX	≈	4%	≈	16%
lpg	≈	16%	≈	30%
probSAT	≈	47%	≈	32%
SATenstein	≈	15%	≈	26%

- ⇒ parameter importance depends on the instance set
- A subset of parameters is important across instance sets



# CAVE: Case Study

Algorithm	fANOVA		ablation vs. LPI $\mu$
	vs. ablation $\mu$	vs. LPI $\mu$	
clasp(-ASP)	≈	8%	≈ 6% ≈ 12%



# CAVE: Case Study

Algorithm	fANOVA		ablation vs. LPI $\mu$
	vs. ablation $\mu$	vs. LPI $\mu$	
clasp(-ASP)	≈	8%	≈ 6% ≈ 12%
clasp(-HAND)	≈	7%	≈ 10% ≈ 22%
clasp(-RAND)	≈	38%	≈ 13% ≈ 32%



# CAVE: Case Study

Algorithm	fANOVA		ablation vs. LPI	
	vs. ablation $\mu$	vs. LPI $\mu$	vs. LPI $\mu$	vs. LPI $\mu$
clasp(-ASP)	≈	8%	≈	6%
clasp(-HAND)	≈	7%	≈	10%
clasp(-RAND)	≈	38%	≈	13%
CPLEX	≈	7%	≈	7%



# CAVE: Case Study

Algorithm	fANOVA		ablation	
	vs. ablation $\mu$	vs. LPI $\mu$	vs. LPI $\mu$	vs. LPI $\mu$
clasp(-ASP)	≈	8%	≈	6%
clasp(-HAND)	≈	7%	≈	10%
clasp(-RAND)	≈	38%	≈	13%
CPLEX	≈	7%	≈	7%
lpg	≈	43%	≈	38%



# CAVE: Case Study

Algorithm	fANOVA		ablation	
	vs. ablation $\mu$	vs. LPI $\mu$	vs. LPI $\mu$	vs. LPI $\mu$
clasp(-ASP)	≈	8%	≈	6%
clasp(-HAND)	≈	7%	≈	10%
clasp(-RAND)	≈	38%	≈	13%
CPLEX	≈	7%	≈	7%
lpg	≈	43%	≈	38%
probSAT	≈	4%	≈	22%



# CAVE: Case Study

Algorithm	fANOVA		ablation	
	vs. ablation $\mu$	vs. LPI $\mu$	vs. LPI $\mu$	vs. LPI $\mu$
clasp(-ASP)	≈	8%	≈	6%
clasp(-HAND)	≈	7%	≈	10%
clasp(-RAND)	≈	38%	≈	13%
CPLEX	≈	7%	≈	7%
lpg	≈	43%	≈	38%
probSAT	≈	4%	≈	22%
SATenstein	≈	12%	≈	13%
			≈	34%

- *fANOVA* and *ablation* tend to view different parameters as important



# CAVE: Case Study

Algorithm	fANOVA		ablation	
	vs. ablation $\mu$	vs. LPI $\mu$	vs. LPI $\mu$	vs. LPI $\mu$
clasp(-ASP)	≈	8%	≈	6%
clasp(-HAND)	≈	7%	≈	10%
clasp(-RAND)	≈	38%	≈	13%
CPLEX	≈	7%	≈	7%
lpg	≈	43%	≈	38%
probSAT	≈	4%	≈	22%
SATenstein	≈	12%	≈	13%
			≈	34%

- *fANOVA* and *ablation* tend to view different parameters as important
- ⇒ global and local parameter importance give different view on parameter importance



- Presented automatic analysis tool
- Introduced two new analysis approaches
  - Local Parameter Importance
  - Configurator Footprints
- Demonstrated the usefulness of this tool by demonstrating
  - different analysis approaches on a running example
  - Parameter importance depends on the examined instance set
  - Global and local importance analysis are complementary

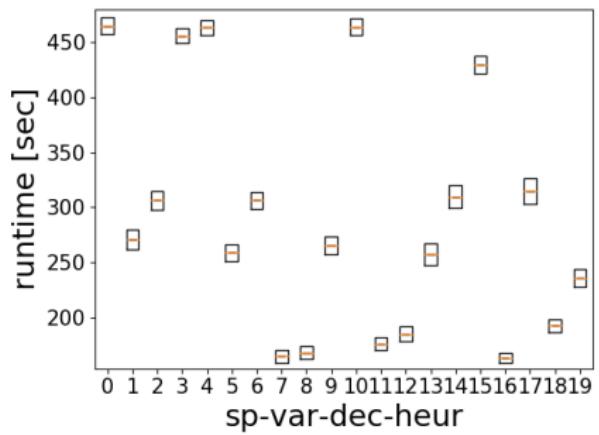
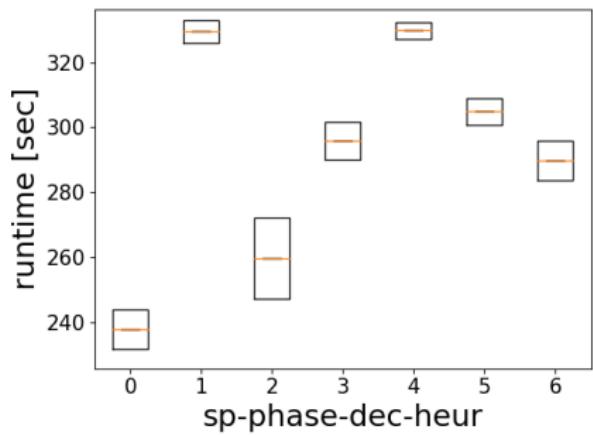


# Full report

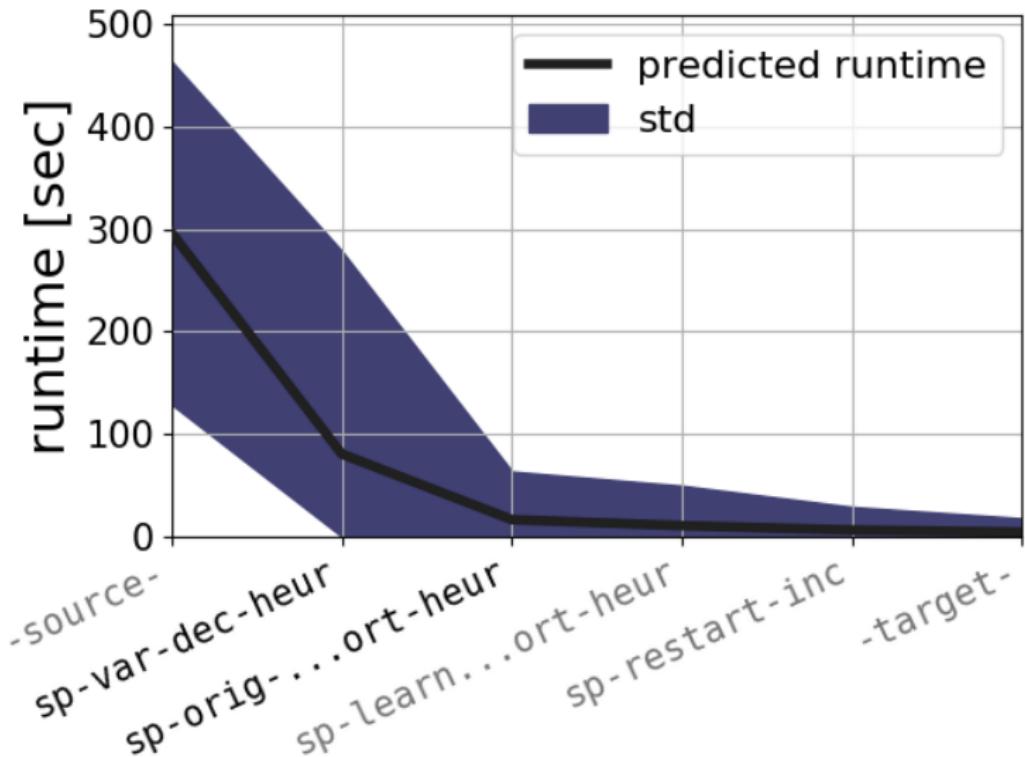
<http://ml.informatik.uni-freiburg.de/~biedenka/cave.html>



# CAVE: fANOVA



# CAVE: *ablation*



## Configurator Footprint:

- 1 For each pair of configurations compute similarity  
 $s(\theta_i, \theta_j)$  [Xu et al., 2016]
- 2 Fit 2D *MDS* based on similarities
- 3 Plot each configuration  $\theta$  in 2D space  $MDS(\theta)$ , size proportional to evaluations
- 4 Highlight incumbents of trajectory
- 5 Fit EPM  $\hat{c} : \mathbb{R}^2 \times \Pi \rightarrow \mathbb{R}$  based on runhistory
- 6 Plot heatmap in background based on marginalized predicted performance



# References I

-  Balint, A. and Schöning, U. (2012). Choosing probability distributions for stochastic local search and the role of make versus break. *Theory and Applications of Satisfiability Testing–SAT 2012*, pages 16–29.
-  Biedenkapp, A., Lindauer, M., Eggensperger, K., Fawcett, C., Hoos, H., and Hutter, F. (2017). Efficient parameter importance analysis via ablation with surrogates. In *Proc. of AAAI'17*, pages 773–779.
-  Gebser, M., Kaminski, R., Kaufmann, B., Ostrowski, M., Schaub, T., and Schneider, M. (2011). Potassco: The Potsdam answer set solving collection. *AICOM*, 24(2):107–124.
-  Gebser, M., Kaufmann, B., and Schaub, T. (2012). Conflict-driven answer set solving: From theory to practice. *AI*, 187–188:52–89.
-  Gerevini, A. and Serina, I. (2002). LPG: A planner based on local search for planning graphs with action costs. In *Proc. of AIPS'02*, pages 13–22.
-  Heinrich, J. and Weiskopf, D. (2013). State of the art of parallel coordinates. In *Proceedings of Eurographics*, pages 95–116. Eurographics Association.
-  Hutter, F., Hoos, H., and Leyton-Brown, K. (2010). Automated configuration of mixed integer programming solvers. In *Proc. of CPAIOR'10*, pages 186–202.

# References II

-  Hutter, F., Hoos, H., and Leyton-Brown, K. (2013).  
Identifying key algorithm parameters and instance features using forward selection.  
In *Proc. of LION'13*, pages 364–381.
-  Hutter, F., Lindauer, M., Balint, A., Bayless, S., Hoos, H., and Leyton-Brown, K. (2017).  
The configurable SAT solver challenge (CSSC).  
*AIJ*, 243:1–25.
-  Hutter, F., Xu, L., Hoos, H., and Leyton-Brown, K. (2014).  
Algorithm runtime prediction: Methods and evaluation.  
*AIJ*, 206:79–111.
-  KhudaBukhsh, A., Xu, L., Hoos, H., and Leyton-Brown, K. (2009).  
SATenstein: Automatically building local search SAT solvers from components.  
In *Proc. of IJCAI'09*, pages 517–524.
-  Smith-Miles, K., Baatar, D., Wreford, B., and Lewis, R. (2014).  
Towards objective measures of algorithm performance across instance space.  
*Computers & OR*, 45:12–24.
-  Vallati, M., Fawcett, C., Gerevini, A., Hoos, H., and Saetti, A. (2013).  
Automatic generation of efficient domain-optimized planners from generic parametrized planners.
-  Xu, L., Hutter, F., Hoos, H., and Leyton-Brown, K. (2008).  
SATzilla: Portfolio-based algorithm selection for SAT.  
*JAIR*, 32:565–606.

# References III



Xu, L., KhudaBukhsh, A., Hoos, H., and Leyton-Brown, K. (2016).

Quantifying the similarity of algorithm configurations.

In *Proc. of LION'16*, pages 203–217.